

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER B1204/20003 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/530333</div>
INTERNATIONAL APPLICATION NO. PCT/BR2003/000144	INTERNATIONAL FILING DATE October 2, 2003	PRIORITY DATE CLAIMED October 3, 2002
TITLE OF INVENTION POMOLIC ACID, ITS ISOMERS, DERIVATIVES AND THEIR USES, PHARMACEUTICAL COMPOSITION, METHOD TO PREPARE THE PHARMACEUTICAL COMPOSITION AND METHOD FOR TREATING MULTIDRUG RESISTANT TUMOURS		
APPLICANT(S) FOR DO/EO/US Cerli Rocha GATTASS, et al.		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment and attached Abstract. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input checked="" type="checkbox"/> A substitute specification (clean version and marked-up version) 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Cover Sheet of International Publication No. WO 2004/030682, U.S. Express Mail Transmittal EL 962210370 US, return receipt postcard 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Substitute) PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (If known, see 37 CFR 1.53) <div style="font-size: 24pt; font-weight: bold;">10/530333</div>		INTERNATIONAL APPLICATION NO. PCT/BR2003/000144		ATTORNEY'S DOCKET NO. B1204/20003					
The following fees have been submitted: 21. <input checked="" type="checkbox"/> Basic national fee \$300				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td>\$300.00</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$300.00	
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22. <input type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500 <div style="text-align: right; font-weight: bold;">TOTAL OF 21, 22 and 23 =</div>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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- 100 =	/50 =		x \$250						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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CLAIMS	NO. FILED	NUMBER EXTRA	RATE	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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Total claims	12 - 20 =	0	x \$50	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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Independent	3 - 3 =	0	x \$200	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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TOTAL OF ABOVE CALCULATIONS =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$300.00</td> <td></td> </tr> </table>		\$300.00			
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<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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SUBTOTAL =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$150.00</td> <td></td> </tr> </table>		\$150.00			
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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TOTAL NATIONAL FEE =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$150.00</td> <td></td> </tr> </table>		\$150.00			
\$150.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
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TOTAL FEES ENCLOSED =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$150.00</td> <td></td> </tr> </table>		\$150.00			
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				Amount to be refunded:					
				Amount to be charged:					
				\$150.00					

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 03-0075 in the amount of \$ 150.00 to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____ . A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.237 (a) or (b)) must be filed and granted to restore the Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 03000

SIGNATURE
 David M. Tener
 NAME
 37 054
 REGISTRATION NUMBER

10/530333

Attny Docket No. B1204/20003
PTO Customer No. 03000

Applicants : Cerli Rocha GATTASS, et al.

Title : POMOLIC ACID, ITS ISOMERS, DERIVATIVES
AND THEIR USES, PHARMACEUTICAL
COMPOSITION, METHOD TO PREPARE THE
PHARMACEUTICAL COMPOSITION AND
METHOD FOR TREATING MULTIDRUG
RESISTANT TUMOURS

The following documents are submitted for the above-identified invention for entry into the U.S. National stage under 35 U.S.C. §371, based on the International Application No. PCT/BR2003/000144, which includes the following:

1. Application Data Sheet;
2. Transmittal Letter, with Calculation Sheet, in duplicate;
3. Cover Sheet of International Publication No. WO 2004/030682;
4. Six (6) pages Preliminary Amendment;
5. One (1) page Abstract;
6. Twenty-three (23) pages Substitute Specification (clean version);
7. Twenty-three (23) pages Substitute Specification (marked-up version); and,
8. Return Receipt Post Card.

"Express Mail" Mailing Label No. EL 962210370 US
Date of Deposit: April 1, 2005

I hereby certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: MAIL STOP PCT, ATTENTION:
RO/EO/US, COMMISSIONER FOR PATENTS, P.O. BOX 1450,
ALEXANDRIA, VA, 22313-1450



Martha Hebert